

08-24-01

PCT
#7

JC03 Rec'd PCT/PTO 23 AUG 2001



THE UNITED STATES PATENT AND TRADEMARK OFFICE

"Express Mail" Mailing Label Number EL436586711US

Date of Deposit August 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rosalie A. Centeno
Rosalie A. Centeno, Secretary

In the Application of Hermann Brüggendick, Andreas Hospital

Serial Number : 09/856,342

For: DEVICE AND METHOD FOR PROCESSING SUBSTRATES

Assistant Commissioner for Patents
Washington, DC 20231

ATTN: Box Missing Parts

LETTER

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed June 22, 2001, a copy of which is attached hereto, Applicant herewith submits the COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION and ASSIGNMENT.

Also attached is a check in the amount of \$170.00 (\$130.00 surcharge and \$40.00 assignment fee). In the event there is any discrepancy in the amount sent herewith, or at any future time during the prosecution of this application, please charge any additional amount to Deposit Account #02-1653.

Respectfully Submitted,

08/28/2001 LLANDGRA 00000004 09856342

01 FC:154

130.00 OP

Robert W. Becker
Robert W. Becker, Reg. No. 26,255
for applicant(s)

ROBERT W. BECKER & ASSOCIATES
11896 N. Highway 14, Suite B
Tijeras, NM 87059

Telephone: (505) 286-3511
Facsimile: (505) 286-3524

RWB:mac



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.

09/856342

FIRST NAMED APPLICANT

BRUGGENDICK

ATTY. DOCKET NO.

AZ. 2673

INTERNATIONAL APPLICATION NO.

PCT/EP99/08040

I.A. FILING DATE

22 OCT 99

PRIORITY DATE

18 NOV 98

DATE MAILED:

22 JUN 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- | | |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Indication of Small Entity Status. |
| <input checked="" type="checkbox"/> Copy of the international application. | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventor(s). | <input type="checkbox"/> Translation of Article 19 amendments into English. |
| <input type="checkbox"/> Copy of Article 19 amendments. | <input type="checkbox"/> Other: |
| <input checked="" type="checkbox"/> Priority Document. | |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. | |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. | |

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any paired multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917☐ Notice of Defective Translation☐ PTO-875☐ PCT/DO/EO/920

Anita D. Johnson

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3661

[illegible]

UNITED STATES POSTAL SERVICE™

**POST OFFICE
TO ADDRESSEE**

Addresssee Copy Label 11-F July 1997

ORIGIN (POSTAL USE ONLY)		DELIVERY (POSTAL USE ONLY)	
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second and Third	Delivery Attempt	Employee Signature
Date in Month Year	Time 12 Noon <input type="checkbox"/> 3 PM <input type="checkbox"/> 6 PM <input type="checkbox"/> 9 PM	No. Day	Time
Weight lbs. ozs.	2nd Day <input type="checkbox"/> 3rd Day <input type="checkbox"/>	Delivery Attempt	Employee Signature
NO Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/>	Initials Country Code	No. Day	Time
Acceptance Clerk Initials	Insurance Fee	Delivery Date	Employee Signature
Total Postage & Fees	COD Fee	No. Day	Time
	Return Receipt Fee	Signature of Addressee or Agent	

IMAGE OR LOSS OF PRESENT THE ARTICLE, RAGING TO THE USPS		CUSTOMER USE ONLY	
<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only): Additional merchandise insurance is void if waiver of signature is requested. <input type="checkbox"/> I wish delivery to be made without obtaining signature of addressee's agent. (If delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.		METHOD OF PAYMENT: Express Mail Corporate Act. No. _____ Federal Agency Act. No. or Postal Service Act. No. _____	

FROM: (PLEASE PRINT)	PHONE: () - -
ROBERT W. [illegible] 11896 N. H. [illegible] ALBUQUERQUE	7059-1285

TO: (PLEASE PRINT)	BUSINESS
USPTO MAIL CENTER ASSISTANT COMMISSIONER FOR AUG 23 2001	

PTO MAIL CENTER	
Customer Signature	NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday <input type="checkbox"/>

PRESS HARD.
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811
WWW.USDS.GOV

5C